

01-16-02

Docket No: AM100320  
Patent0400  
#2IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor of Application of: Annmarie L. Sabb et al.  
Serial No.: 10/016,331 Group Art No.:  
Filed: November 2, 2001 Examiner:  
For: Cyclopenta[b][1,4]Diazepino[6,7,1-hi]Indoles and Derivatives  
Confirmation No.:  
Customer Number: 25291

Commissioner for Patents  
Box DD  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

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John R. Barker

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Prior application is Serial Number \_\_\_\_\_, filed on \_\_\_\_\_ of \_\_\_\_\_ for \_\_\_\_\_.

- ☒ Concise Explanation  
Documents cited above which are not in the English Language
- a. ☐ have been explained in the specification.
- b. ☒ have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.

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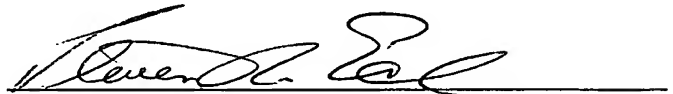
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January 14, 2002  
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